



Northwest (HHS Region 10)

**ATTC**

Addiction Technology Transfer Center Network  
Funded by Substance Abuse and Mental Health Services Administration

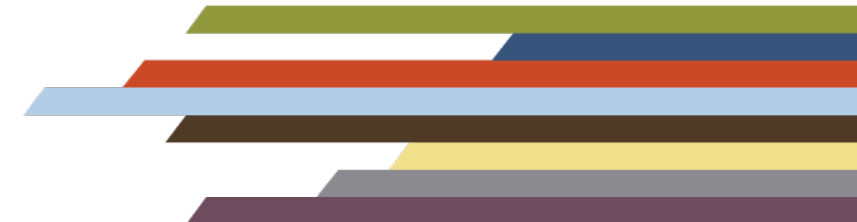


Northwest ATTC presents:

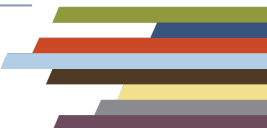
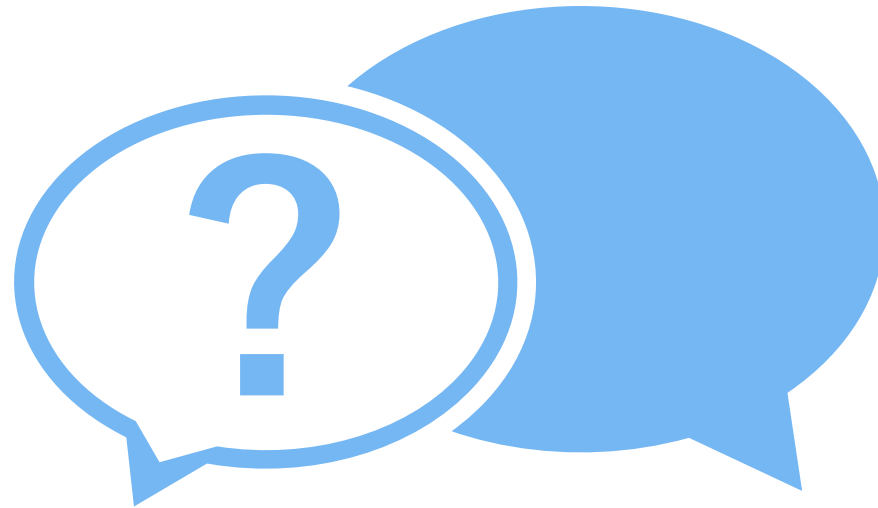
## **ASAM Criteria Implementation: New Tools, New Partnerships**

# **Thank you for joining us! The webinar will begin shortly.**

- **Participants are automatically muted during this presentation**
- **Got questions?** Type them into the chat box at any time and they will be answered at the end of the presentation.
- An ADA-compliant recording of this presentation will be made available on our website at:  
<http://attcnetwork.org/northwest>



**Questions? Please type them in  
the chat box!**



# Surveys

**Look for our surveys in your inbox!**

**We greatly appreciate your feedback!**

Every survey we receive helps us improve  
and continue offering our programs.

It only takes **1 minute** to complete!



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# Certificates

## Certificates of Attendance are available for live viewers!



### Viewing Groups:

Please send each individual's **name** and **email address** to [northwest@attcnetwork.org](mailto:northwest@attcnetwork.org) within 1 business day.

Your certificate will be emailed within a week to the address you registered with.

# **ASAM Criteria Implementation: New Tools, New Partnerships**





*Panelist:*

**Mathew Roosa, LCSW-R**

Consultant and Trainer  
NIATx Foundation



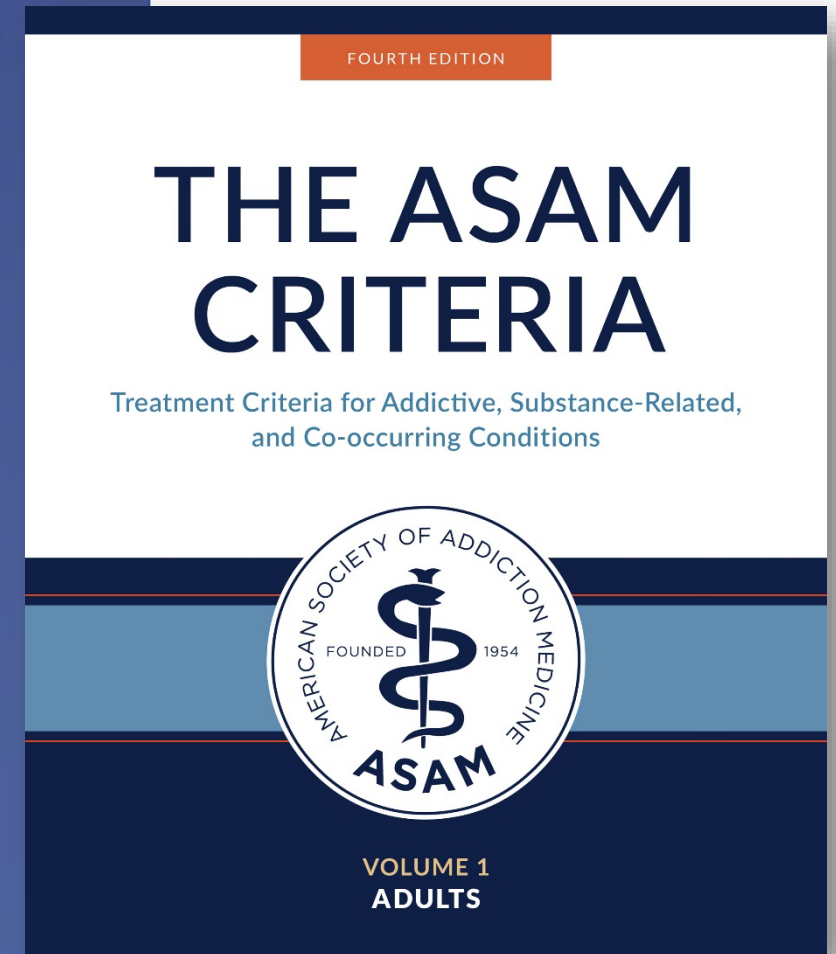
*Panelist:*

**Scott Boyles, LAC**

Senior National Training Director  
Train for Change

# The ASAM Criteria

is the most widely used and comprehensive set of standards for level of care recommendations, continued service, and care transitions for individuals with addiction and co-occurring conditions.





# PURPOSE OF THE ASAM CRITERIA

- To promote individualized and holistic treatment planning
- Guide clinicians and care managers in making objective decisions about patient admission, continuing care, and movement along the continuum of care.

## THE CRITERIA PROVIDES A CONSISTENT WAY TO:

- assess patients' biopsychosocial circumstances to identify the appropriate level of care
- develop comprehensive, individualized, and patient-centered treatment plans
- define the services that should be available at each level of care

# SUPPORTING EFFECTIVE IMPLEMENTATION



**CLINICIANS**



**TREATMENT  
PROGRAMS**



**POLICY  
MAKERS**



**PAYERS**

# ASAM CRITERIA<sup>®</sup>

## IMPLEMENTATION GUIDE



**ASAM**

American Society of  
Addiction Medicine

## ASAM CRITERIA IMPLEMENTATION GUIDE

- Guides programs to more effectively implement The ASAM Criteria
- Uses the evidence-based NIATx model for process improvement
- Partnership with NIATx and The Change Companies

**TRAINING**  
Does Not Equal  
**IMPLEMENTATION**





“Every system is perfectly designed to deliver the results it produces.”

Langley, G. J., Moen, R. D., Nolan, K. M., Nolan, T. W., Norman, C. L., & Provost, L. P. (2009). The improvement guide: a practical approach to enhancing organizational performance.



# IMPLEMENTATION

The process of putting  
things in place.

**ADOPT** the practice  
vs.  
ADAPT the practice

# OBJECTIVES

- Learn a system of change through the NIATx methods.
- Understand how to use the ASAM Criteria Guiding Principles to identify and initiate improvements.
- Understand the role of a Change Leader in facilitating implementation and improvement.
- Conceptualize how to support the “neutral zone” and how to rethink “resistance.”
- Develop strategies for addressing staff needs during implementation and change.
- Develop additional expertise and understanding of the ASAM Criteria so they can supervise, educate and coach others.

# THE ASAM CRITERIA IMPLEMENTATION GUIDE *WHY?*

- Helps programs **improve quality of care in manageable increments** aligned with each program's unique goals and challenges.
- Uses the **ASAM Principles and the ASAM Criteria Checklist** to describe characteristics that should be common to all treatment programs and serves as **parameters...**
- **The ASAM Criteria** functions as a model for the expected specifics of care and care delivery.



# ASAM PRINCIPLES CHECKLIST

## **Principle 1**

*Admission into treatment is based on patient need rather than prerequisites.*

## **Principle 2**

*Treatment plans are individualized based on patient's needs and preferences.*

## **Principle 3**

*Patients receive a multidimensional assessment that incorporates their lived experience, identity, preferences and context.*

## **Principle 4**

*Care is interdisciplinary, evidence based, delivered from a place of empathy and centered on the patient.*

## **Principle 5**

*Patients move along a clinical continuum of care based on the outcomes of provided care.*

## **Principle 6**

*Informed consent and shared decision making accompany all treatment decisions.*

# ASAM CRITERIA CHECKLIST

Check all the statement(s) that best describe your current use of  
*The ASAM Criteria*:

- ☐ We are a new program and do not have a formal assessment process in place.
- ☐ We have a formal biopsychosocial assessment that is built in house and want to adopt a multidimensional assessment based on *The ASAM Criteria*.
- ☐ We have a formal biopsychosocial assessment using *The ASAM Criteria* and want to build on this so *The ASAM Criteria* supports clinical decision making, treatment planning, and patient progress through the care continuum.
- ☐ Staffing, services, and therapies are determined by internal policies or external requirements other than *The ASAM Criteria*.
- ☐ Some staffing, services, and therapies are based on *The ASAM Criteria* level of care standards, and we would like to meet all standards for our level of care.
- ☐ We meet *The ASAM Criteria* standards for our current level of care but would like to add a level of care to our services.
- ☐ *The ASAM Criteria* level of care standards are not used in our program.

# ABOUT THE NIATx FOUNDATION



- The NIATx Foundation specializes in successful implementation and organizational change.
- The NIATx Organizational Change Model, used within the ASAM Implementation Guide, is based on a set of evidence-based practices successfully applied in 3,000+ organizations supported by 40+ peer-reviewed publications.

# NIATx as the vehicle for leveling up

HOW to improve



# NIATx

## CHANGE LEADERSHIP ACADEMY

### 10 Modules Sequence

---

#### 01 | Create a Change Team

*Who can we gather to work on improvement?*

#### 02 | Review The NIATx Principles and define your Big Aim

*What outcome do we seek? What needs Improving?*

#### 03 | Do a Walk Through

*How does it feel to the customer?*

#### 04 | Draw a Flow Chart

*What does the process look like?*

#### 05 | Define Your Focused Aim

*What is our targeted goal?*

#### 06 | Do a Nominal Group Technique

*What change strategies might work?*

#### 07 | Plan a Change Strategy

*How will we make the change?*

#### 08 | Create a Data Plan

*How will we measure the change?*

#### 09 | Do a PDSA Change Cycle

*What are the results of our strategy test?*

#### 10 | Share Your Change Story

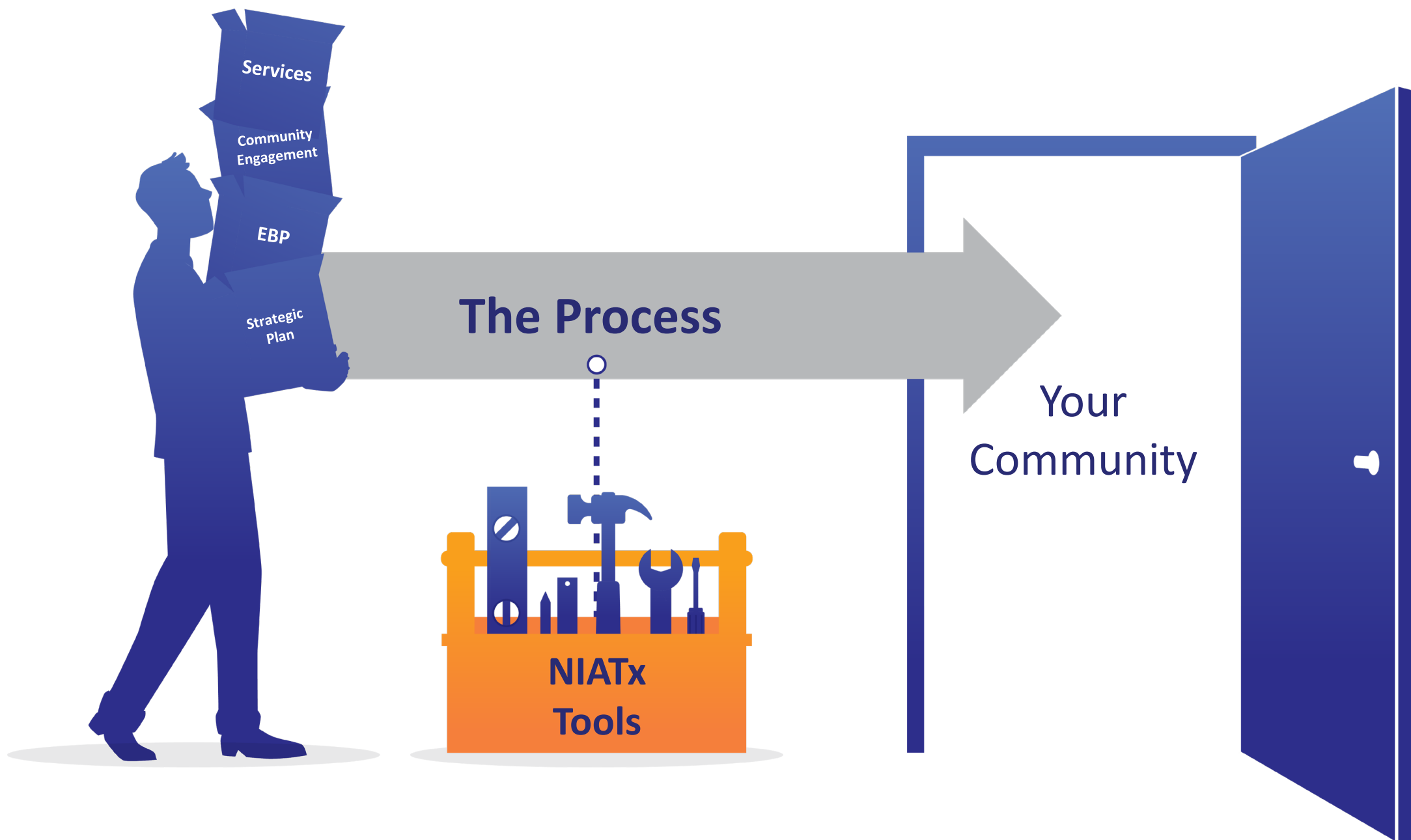
*How do we keep what works, and learn from our experience?*

## NIATx ASAM Criteria Change Charter

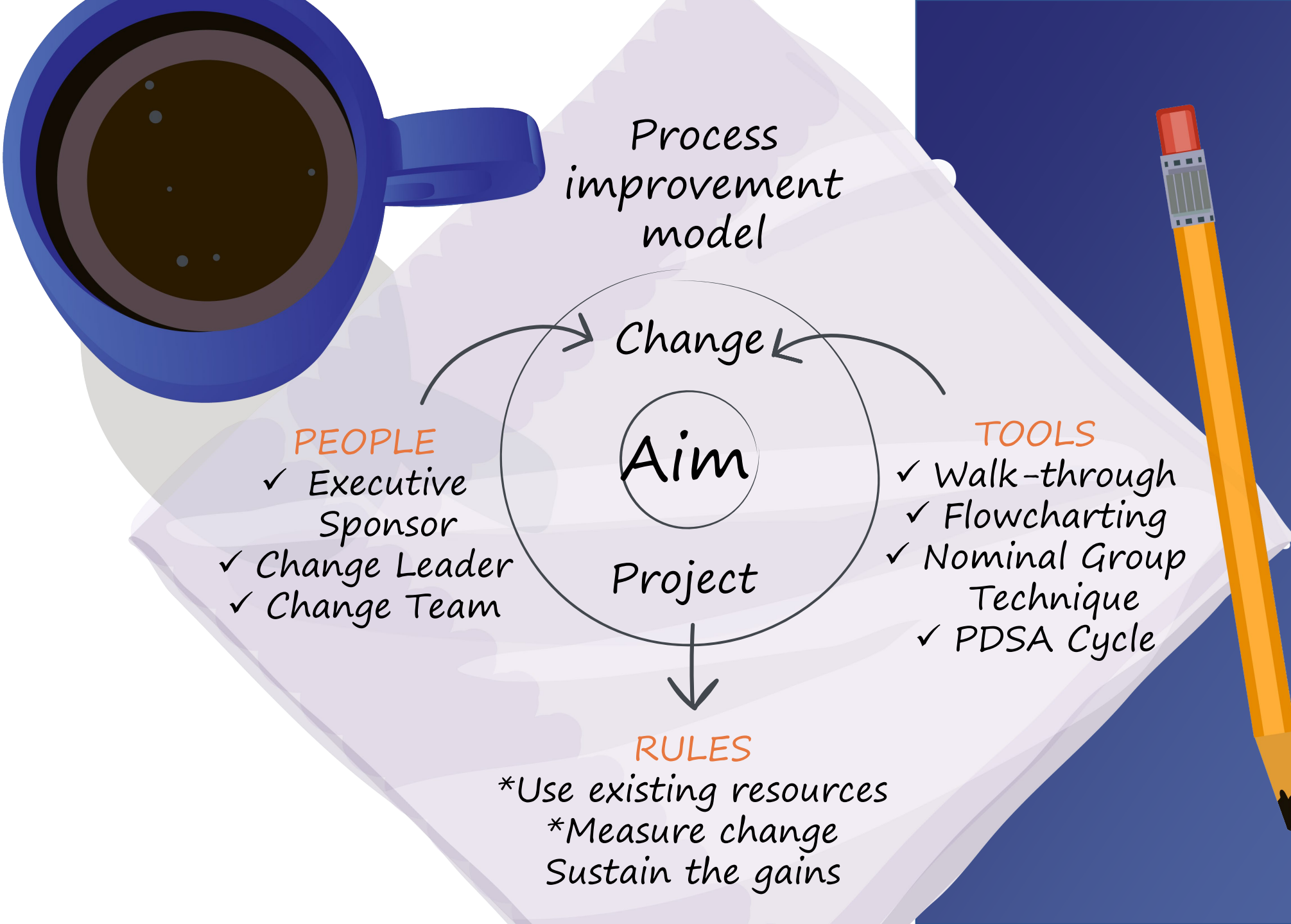
1. The Change team
2. The Big Aim
3. The Walk Through
4. The Flow Chart
5. The Focused Aim
6. The Nominal Group Technique
7. The change strategy
8. The Data Plan
9. The PDSA
10. The Change Story

# THE NIATx MODEL & DEMING'S INFLUENCE

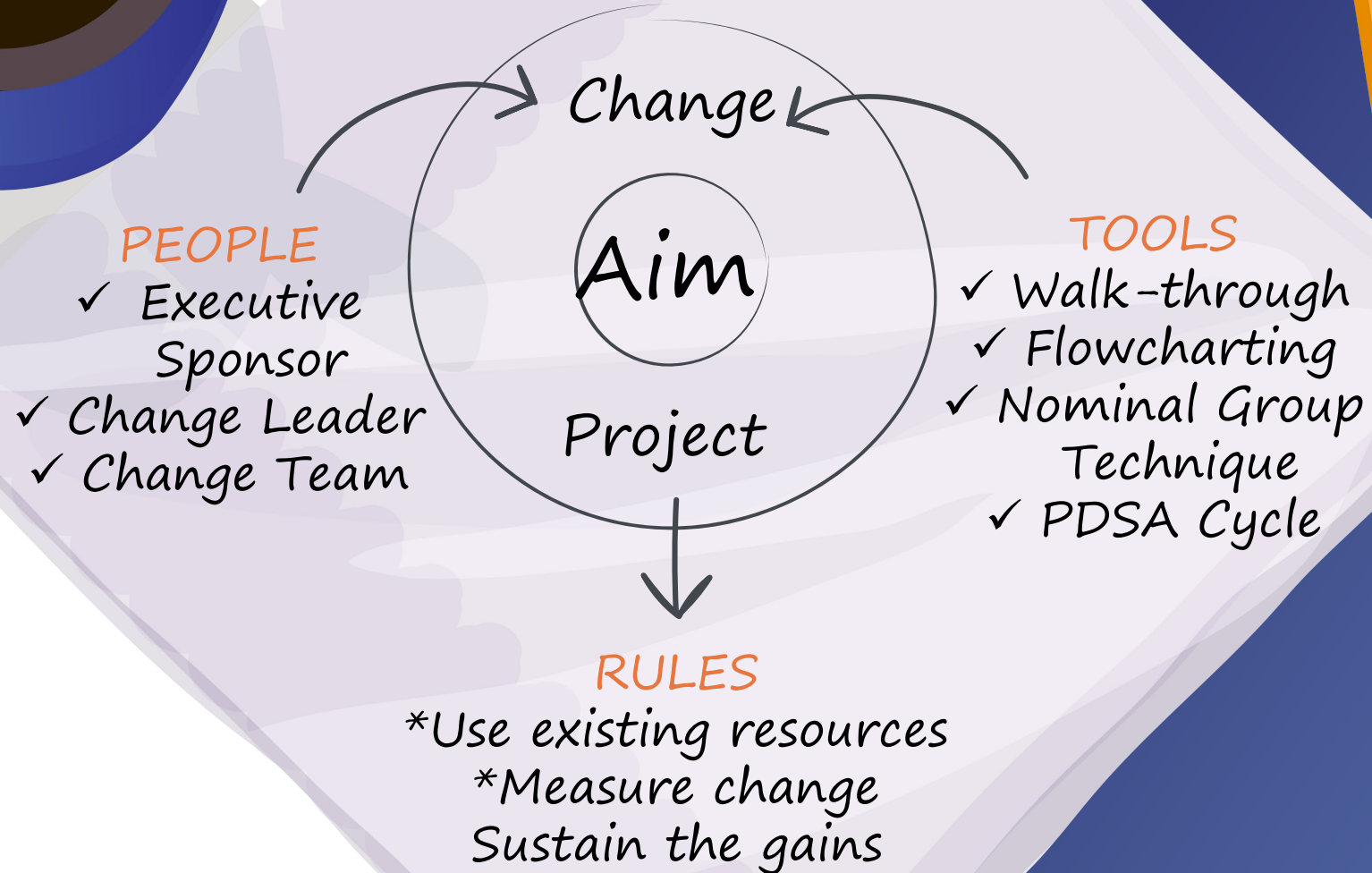
- 1 | All work is a **process**
- 2 | 85% of customer problems are due to poor **processes**, not people
- 3 | To fix the problem you must focus on understanding and improving the **process**
- 4 | Rely on **data** to guide you
- 5 | Know your **customer** and involve front-line **workers**







## Process improvement model



# THE 5 NIATx PRINCIPLES

- 1 | Understand and involve the customer.
- 2 | Fix key problems – that keep the Executive Director awake.
- 3 | Pick a powerful Change Leader.
- 4 | Get ideas from outside the organization or field.
- 5 | Use rapid-cycle testing to establish effective changes (PDSA Cycles).

# USE THE NIATx TOOLS

## **PDSA**

Do a change

## **NGT**

Brainstorm for change ideas

## **FLOWCHART**

Chart what you walked through

## **WALK THROUGH**

Pick something to walk through

# Sustainment Checklist

## Sustainment by design:

- Projects are design to get the results that they get...
- Most change projects are not designed with sustainment in mind.

### *Sustainment Checklist:*

#### *Team*

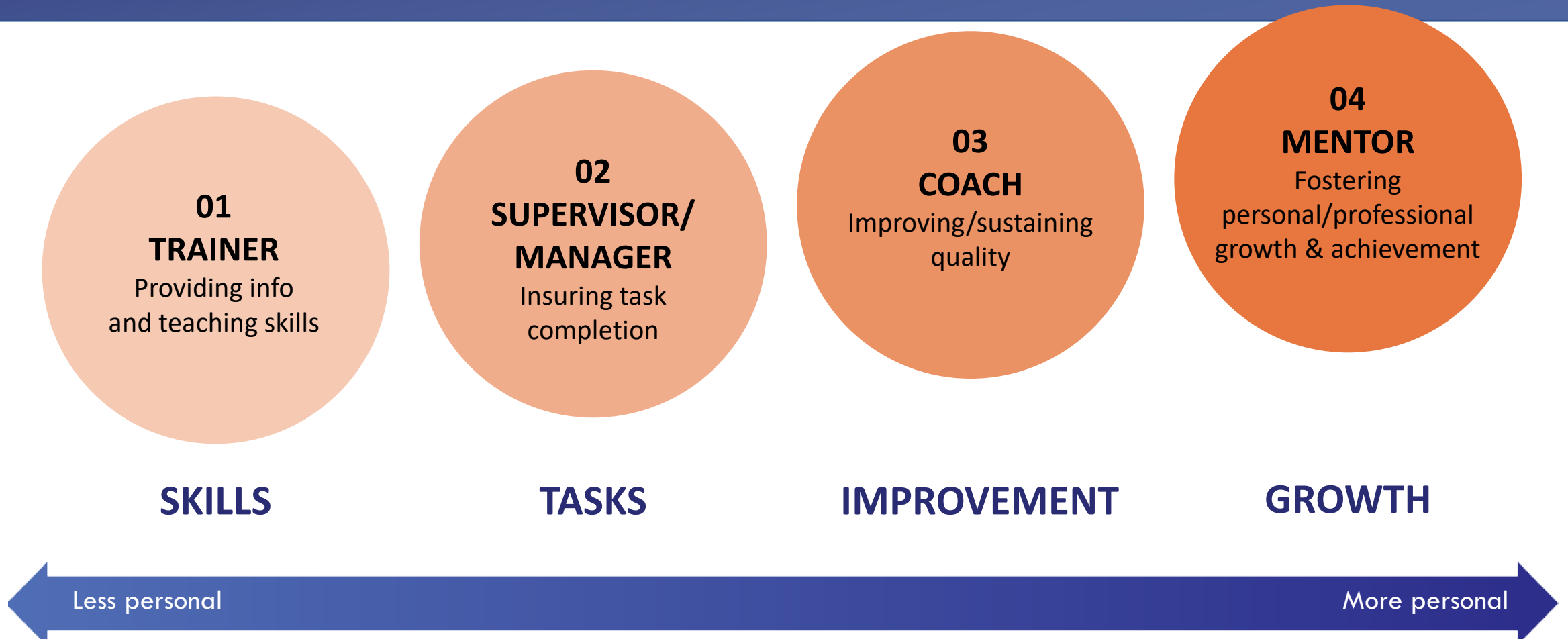
- Leadership*
- Meetings*
- Sustain leader*
- Engage new staff*

#### *Measurement*

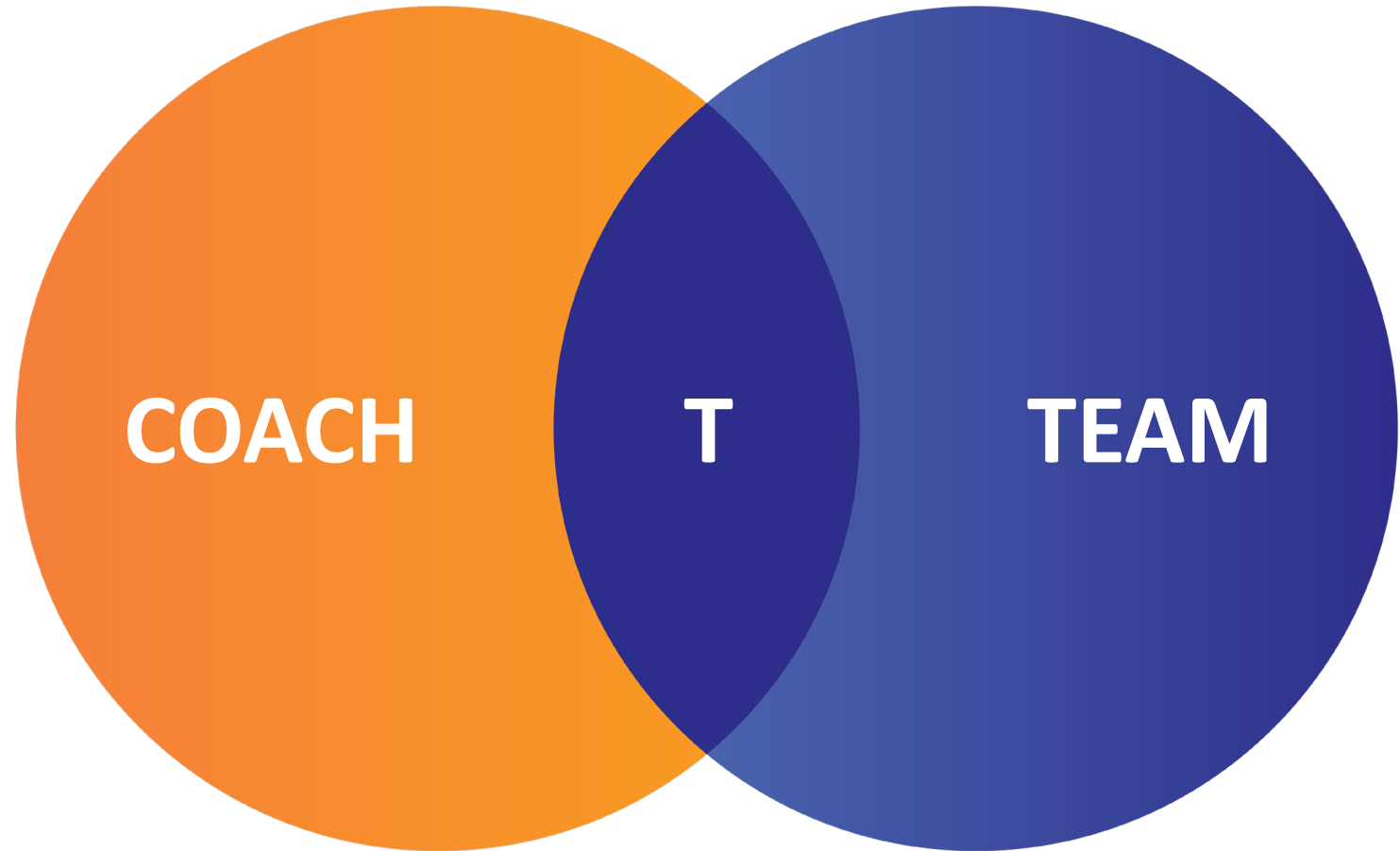
- Keep gathering Data*
- Build infrastructures*

*New becomes the Norm*

# CONTINUUM OF SUPPORTS



**TRUST**



# **EMPATHY**

## **Trust spiral**

**Repeat**

Enhanced Team  
performance

**Coach can support  
team needs**

Team Shares

**Team Trusts Coach**

Coach has empathy



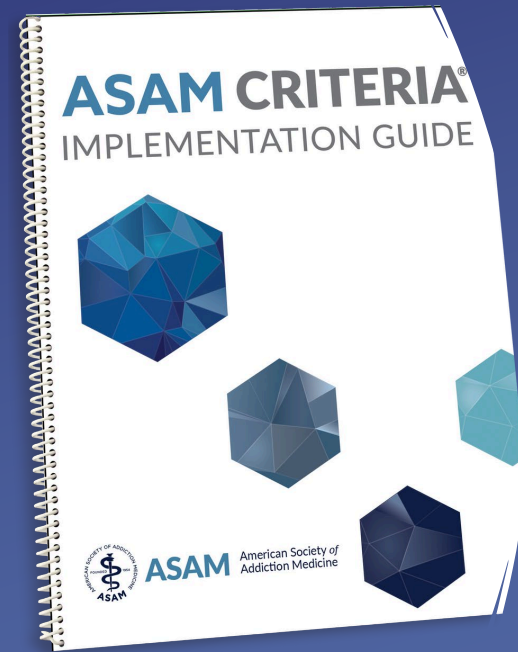
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# The ASAM Criteria Implementation Guide Training





# THANK YOU



[www.trainforchange.net/open-events](http://www.trainforchange.net/open-events)

[www.changecompanies.net](http://www.changecompanies.net)

**1-888-889-8866**